

## *BradfordCrane Company, LLC*

*PO Box 4872  
South Colby, WA 98384*

*On the mission, one financial waypoint at a time.*

## Privacy Notice

We recognize the importance of protecting our clients' privacy. We have policies to maintain the confidentiality and security of your nonpublic personal information. The following is designed to help you understand what information we collect from you and how we use that information to serve your account.

### **Categories of Information We May Collect**

In the normal course of business, we may collect the following types of information:

- Information you provide in the subscription documents and other forms (including name, address, social security number, date of birth, income and other financial-related information); and
- Data about your transactions with us (such as the types of investments you have made and your account status).

### **How We Use Your Information That We Collect**

Any and all nonpublic personal information that we receive with respect to our clients who are natural persons is not shared with nonaffiliated third parties which are not service providers to us without prior notice to, and consent of, such clients, unless otherwise required by law. In the normal course of business, we may disclose the kinds of nonpublic personal information listed above to nonaffiliated third party service providers involved in servicing and administering products and services on our behalf. Our service providers include, but are not limited to, our administrator, our auditors and our legal advisor. Additionally, we may disclose such nonpublic personal information as required by law (such as to respond to a subpoena) or to satisfy a request from a regulator and/or to prevent fraud. Without limiting the foregoing, we may disclose nonpublic personal information about you to governmental entities and others in connection with meeting our obligations to prevent money laundering including, without limitation, the disclosure that may be required by the Uniting and Strengthening America Act by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA PATRIOT) Act of 2001 and the regulations promulgated thereunder. In addition, if we choose to dispose of our clients' nonpublic personal information that we are not legally bound to maintain, we will do so in a manner that reasonably protects such information from unauthorized access. The same privacy policy also applies to former clients who are natural persons.

### **Confidentiality and Security**

We restrict access to nonpublic personal information about our clients to those employees and agents who need to know that information to provide products and services to our clients. We maintain physical, electronic and procedural safeguards to protect our clients' nonpublic personal information. We respect and value that you have entrusted us with your private financial information, and we will work diligently to maintain that trust. We are committed to preserving that trust by respecting your privacy as provided herein.

If you have any questions regarding this privacy notice, please contact BradfordCrane Company Admin@peaceofmindwaypoints.com; type "Compliance" in the subject line.

## BradfordCrane Company Code of Ethics Statement

### *BradfordCrane Company:*

- 1. Is committed to providing advisory services with the utmost candor, honesty and compassion;*
- 2. Will maintain objective perspective as a trusted advisor during the client's quest for financial empowerment. BradfordCrane Company commits to providing opportunities for client education throughout the advisory process while in pursuit of client financial goals and objectives;*
- 3. Will endeavor to engage clients in a manner that places the utmost importance on understanding their personal financial goals and subsequently, competently implementing communication, research, and planning skill sets to develop a roadmap to reach stated goals. If we do not have a skill set needed to provide a particular advisory need with integrity and objectivity, we will endeavor to find an appropriate resource for the client;*
- 4. Aims to develop professional advisory relationships that emphasize fairness in treatment, communication, compensation and disclosure of conflicts of interest;*
- 5. Will not sell your personal information to anyone. Client non-public information will remain confidential and will not be released unless Bradford-Crane Company is given permission to release the information, or as permitted or required by law;*
- 6. Is dedicated to devoting volunteer time in the community in the interest of educating and mentoring our youth, protecting our natural environments, and providing pro-bono services for underserved communities;*
- 7. Provides professional financial advisory services and serves the community with dignity, courtesy and diligence in a manner that promotes dignity, courtesy and diligence in others.*